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UNITED STATES COURT OF APPEALS FOR THE FOURTH CIRCUIT DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER INTERESTS

Disclosures must be filed on behalf of <u>all</u> parties to a civil, agency, bankruptcy or mandamus case, except that a disclosure statement is **not** required from the United States, from an indigent party, or from a state or local government in a pro se case. In mandamus cases arising from a civil or bankruptcy action, all parties to the action in the district court are considered parties to the mandamus case.

Corporate defendants in a criminal or post-conviction case and corporate amici curiae are required to file disclosure statements.

If counsel is not a registered ECF filer and does not intend to file documents other than the required disclosure statement, counsel may file the disclosure statement in paper rather than electronic form. Counsel has a continuing duty to update this information.

No.	16-1146	Caption:	In Re MI Windows and Doors, Inc.	
Purs	uant to FRAP 26.	1 and Local F	Rule 26.1,	
Abel	la Owners' Associa	ıtion		
(nan	ne of party/amicu	s)		
			, makes the following disclosure: ondent/amicus/intervenor)	
1.	Is party/amicu	s a publicly h	neld corporation or other publicly held	entity? YES NO
2.		•	y parent corporations? orporations, including grandparent and	☐ YES ✓ NO I great-grandparent
3.	Is 10% or mor other publicly If yes, identify	held entity?	of a party/amicus owned by a public	ly held corporation or ☐ YES ✓ NO

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4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Rule 26.1(b))? If yes, identify entity and nature of interest: Appellant has a lawsuit pending in California state court against Appellee with numerous other corporate co-defendants who have a potential, indirect financial interest in this matter. A list of these corporations is attached. However, upon information and belief, following a search of the Securities and Exchange Commission's database, none of these corporations are publicly held. 5. Is party a trade association? (amici curiae do not complete this question) YES V NO If yes, identify any publicly held member whose stock or equity value could be affected substantially by the outcome of the proceeding or whose claims the trade association is pursuing in a representative capacity, or state that there is no such member: 7 YES ✓ NO 6. Does this case arise out of a bankruptcy proceeding? If yes, identify any trustee and the members of any creditors' committee: Signature: s/C. Fredric Marcinak Date: _____Feb. 22, 2016 Counsel for: Appellant CERTIFICATE OF SERVICE ******** I certify that on ____ Feb. 22, 2016 ____ the foregoing document was served on all parties or their counsel of record through the CM/ECF system if they are registered users or, if they are not, by serving a true and correct copy at the addresses listed below: Richard Ashby Farrier, Jr. Richard.FarrierJr@klgates.com s/C. Fredric Marcinak Feb. 22, 2016 (signature) (date)

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